

SNL WIPP Audit and Surveillance Schedule

Assessment Task Leader: Steve Davis

Revision FY 04-03 (this schedule lists both SNL WIPP audits and surveillances)

AUDITEE	LOCATION	S	O	N	D	J	F	M	A	M	J	J	A	S	Audit Date/Lead	QA Program	Activity Identifier	Contract No.	Work Activity
AUDITS																			
SNL WIPP	Carlsbad			●											Nov 2003 Arceo	SNL WIPP QA Program	A-04-02	N/A	DOE/CBFO QA Audit of SNL
SNL WIPP	Carlsbad												○		August 2004 Archuleta	SNL WIPP QA Program	IA 04-01	N/A	Internal/External Audit on Status of QA Program (Shoemaker)
SNL WIPP	Carlsbad													○	Sept 2004 Byle	SNL WIPP QA Program	See Note 1 below	N/A	Annual Management Assessment (Shoemaker)
SURVEILLANCES																			
SNL WIPP	Carlsbad			●											Nov 2003 Byle	SNL WIPP QA Program	IS 04-01	N/A	Culebra Transmissivity Fields Flow and Transport
SNL WIPP	Carlsbad	●													Sept 2003* Mitchell	SNL WIPP QA Program	IS 04-02	N/A	Installation and Checkout Forms (SNL-Albuquerque)
SNL WIPP	Carlsbad		●												Oct 2003 Davis	SNL WIPP QA Program	IS 04-03	N/A	Scientific Notebooks
SNL WIPP	Carlsbad		●												Oct 2003 Mitchell	SNL WIPP QA Program	IS 04-04	N/A	Sixnet Data Acquisition System (DAS) Checkout and Demonstration
SNL WIPP	Carlsbad			●											Nov 2003 Chavez	SNL WIPP QA Program	IS 04-05	N/A	Installation and Checkout (I&C) Forms for Performance Assessment (PA)
SNL WIPP	Carlsbad					●									Jan 2004 Davis	SNL WIPP QA Program	IS 04-06	N/A	Procurement
SNL WIPP	Carlsbad					◐									Feb 2004 Chavez	SNL WIPP QA Program	IS 04-07	N/A	CRA PA Review (AP-105)
SNL WIPP	Carlsbad							○							Mar 2004 Davis	SNL WIPP QA Program	IS 04-08	N/A	Laboratory Experiments and Data Analysis/Collection Activities
SNL WIPP	Carlsbad							○							Mar 2004 Davis	SNL WIPP QA Program	IS 04-09	N/A	Procedure Compliance
SNL WIPP	Carlsbad								○						Apr 2004 Chavez	SNL WIPP QA Program	IS 04-XX	N/A	Validation Documents
SNL WIPP	Carlsbad								○						Apr 2004 Davis	SNL WIPP QA Program	IS 04-XX	N/A	Measuring and Test Equipment
SNL WIPP	Carlsbad									○					May 2004 Davis	SNL WIPP QA Program	IS 04-XX	N/A	Review Process
SNL WIPP	Carlsbad									○					May 2004 Davis	SNL WIPP QA Program	IS 04-XX	N/A	Qualification and Training
SNL WIPP	Carlsbad										○				June 2004 Davis	SNL WIPP QA Program	IS 04-XX	N/A	Field Monitoring Activities

Audits and Surveillances Numbering System (examples)

Audits

External Audit: EA 04-12 (12th external audit in FY04)

Internal Audit: IA 04-04 (4th internal audit in FY04)

Surveillances

External Surveillance: ES 04-06 (6th external surveillance in FY04)

Internal Surveillance: IS 04-24 (24th internal surveillance in FY04)

Note 1: The Annual Management Assessment listed above is included on this schedule for tracking purposes.

*SNL Administrative New Fiscal Year

Assessment Status Legend	○	Audit/Surveillance Scheduled	◐	Audit/Surveillance In Progress	●	Audit/Surveillance Performed	⊗	Audit/Surveillance Cancelled
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Approved by: Steve Davis

WIPP:1.5.1:AUD:QA-L:Audit and Surveillance Sched., Rev FY04-03

Date: February 27, 2004